Appendix 3.4
Letterkenny Institute of Technology
Report of the Panel of Assessors

PART 1 GENERAL INFORMATION

SCHOOL/DEPARTMENT: School of Business

DATE OF VISITATION: 20 June 2016

PROGRAMMES EVALUATED:

Higher Diploma in Arts in Governance and Compliance in Financial Services (60ECTS)

MEMBERS OF PANEL OF ASSESSORS:

- Mr Stephen McManus, Former Registrar, Dundalk IT (Chair)
- Mr Cathal Kearney, Former Head of School of Business and Humanities, DKIT and Registrar Dorset College
- Mr Eoin Langan, Dean of Faculty of Business and Hospitality, AIT
- Mr Michael Pogue, Senior Lecturer, Financial Services Business Development, University of Ulster.
- Ms Mairead Murray, Senior Finance Manager, Pramerica Systems Ireland Limited

Secretary to Panel: Dr Padraig Gallagher

INSTITUTE STAFF:
PART 2 FINDINGS OF ASSESSORS

The Panel of Assessors are satisfied that the proposal adequately addresses the following criteria:

(1) **Education and Training Requirements**

The programme is consistent with the Institute’s mission, avoids redundant provision and makes efficient use of resources (collaborating where this is beneficial).

(2) **Unity**

There is an underlying unifying theme and the modules are bonded by interactions which are either implicit or explicit. The proposal demonstrates how the standard (of knowledge, skill and competence), determined by QQI for the named award to which the programme proposes to lead, evolves throughout the programme as a whole.

(3) **Teaching and Learning**

The proposed approach to teaching and learning is clearly indicated and justified.

(4) **Learner Assessment**

The learner assessment methods are fully elaborated and consistent with QQI’s policy on fair and consistent assessment. The assessment methods are capable, among other things, of demonstrating attainment of the standards of knowledge, skill or competence, determined by QQI, for the related award.

(5) **Resources**

The proposed staffing levels are appropriate and the levels of qualifications and competence of the staff is sufficient to deliver the programme. The necessary facilities available in terms of accommodation, equipment, and library and information technology resources are satisfactory to support the proposed programme.

(6) **Quality Assurance**

The proposal demonstrates how the Institute’s quality assurance procedures have been applied in the development of the proposed programme and satisfactory procedures exist for the on-going monitoring and periodic review of programmes.

(7) **Programme Title and Award Title**

The award title is consistent with the named awards determined by QQI. The programme title is clear, accurate, succinct and fit for the purpose of informing prospective learners and other stakeholders.

(8) **QQI Standards**

The learning outcomes of the programme are stated in such a way that the compliance with the appropriate QQI standard is demonstrated.

(9) **Access Transfer and Progression**

This programme incorporates the procedures for access transfer and progression that have been established by the NQAI and is consistent with QQI policy in accommodating a variety of access and entry requirements.
PART 3 RECOMMENDATIONS

(For the attention of the Academic Council)

The Panel of Assessors advises the Academic Council that the Institute and the School/Department should take cognisance of following recommendations:

1. Consider widening the entry criteria, particularly to account for business/accounting graduates with appropriate legal knowledge.
2. Consider restructuring of the programme – reduce credits for auditing and increase credits for regulation, governance and ethics modules.

Response from the Department of Law and Humanities to Recommendation (Attach Course Schedules)

Firstly I would like as Head of Department of Law and Humanities to record my gratitude to the Panel of Assessors for taking the time to assess the programme and for making such helpful recommendations and conditions all of which will improve the programme.

1. Criteria has been widened to a “Level 8, or an equivalent qualification, in Legal or similar disciplines” – please see page 14
2. The credits on Auditing have been reduced to 5 credits (page 23) whilst the credits on Regulation, Governance and Ethics have been increased to 10 credits (page 19).

The Panel of Assessors advises the Academic Council that approval of the programmes subject to general conditions of approval together with the following additional conditions:

1. There is a need to explicitly map learning outcomes to the module learning outcomes
2. There is a need for a programme assessment strategy as per QQI requirements
3. The teaching and learning strategy needs to be more explicit about learning experiences e.g. case studies, guest speakers

Response from the Department of Law and Humanities to Conditions (Attach Course Schedules)

1. Programme learning outcomes mapped to the module learning outcomes - please see page 9
2. Programme Assessment Strategy expanded – please see pages 42-43
3. The programme document has been revised (Section 3.6 page 14) to reflect the teaching methodologies, delivery methods and programme philosophy as outlined by the institute panel staff.
Programme Evaluation Report Approved by:

Stephen McManus  
Chairman to Panel  
(Retired Registrar, Dundalk IT)  
Date 7/10/16

Billy Bennett  
(Registrar, Letterkenny IT)  
Date 14/10/16
### 3.4 Programme Schedule

**Name Of Provider:** Letterkenny Institute of Technology  
**Title Of Award:** Higher Diploma in Arts in Governance and Compliance in Financial Services  
**Area Of Specialisation:** Regulation, Governance, Compliance, Audit and IT  
**Learning Mode Offered:** Fulltime and/or Part-time  
**Date Effective:** September 2016

<table>
<thead>
<tr>
<th>Semester</th>
<th>Module Title</th>
<th>Subject status</th>
<th>Level</th>
<th>Lecture</th>
<th>Lab/Studio/Practical</th>
<th>Total Contact hours</th>
<th>ECTS</th>
<th>Allocations of marks (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Financial Services</td>
<td>M</td>
<td>8</td>
<td>10</td>
<td>5</td>
<td>30</td>
<td>70</td>
<td>100</td>
</tr>
<tr>
<td>1</td>
<td>Auditing</td>
<td>M</td>
<td>8</td>
<td>5</td>
<td>3</td>
<td>30</td>
<td>70</td>
<td>100</td>
</tr>
<tr>
<td>1</td>
<td>Information Systems Fundamentals</td>
<td>M</td>
<td>8</td>
<td>5</td>
<td>3</td>
<td>100</td>
<td>-</td>
<td>100</td>
</tr>
<tr>
<td>1</td>
<td>Regulation, Governance and Ethics</td>
<td>M</td>
<td>8</td>
<td>10</td>
<td>5</td>
<td>100</td>
<td>-</td>
<td>100</td>
</tr>
<tr>
<td>2</td>
<td>Legal &amp; Regulatory Aspects of Compliance</td>
<td>M</td>
<td>8</td>
<td>10</td>
<td>5</td>
<td>30</td>
<td>70</td>
<td>100</td>
</tr>
<tr>
<td>2</td>
<td>IT Governance and Risk Management</td>
<td>M</td>
<td>8</td>
<td>10</td>
<td>5</td>
<td>100</td>
<td>-</td>
<td>100</td>
</tr>
<tr>
<td>2</td>
<td>Information Systems Auditing</td>
<td>M</td>
<td>8</td>
<td>5</td>
<td>3</td>
<td>30</td>
<td>70</td>
<td>100</td>
</tr>
<tr>
<td>2</td>
<td>Work Placement</td>
<td>M</td>
<td>8</td>
<td>5</td>
<td>Meetings</td>
<td>100</td>
<td>-</td>
<td>100</td>
</tr>
</tbody>
</table>